

10-27-03

3641



Practitioner's Docket No.: 892_019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re patent application of: Akira WAKABAYASHI

F9
10-30-03
DRS

Serial No.: 09/908,945

Art Unit: 3641

Filed: July 19, 2001

Examiner: Denise J. Buckley

Confirmation No.: 1922

For: ONLINE TRADING SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 24, 2003 under "EXPRESS MAIL" mailing label number EL 97517 0348 US.

Janet M. Stevens

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OCT 28 2003

GROUP 3600

Sir:

Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Stephen P. Burr
Reg. No. 32,970

October 24, 2003

Date

SPB:jms

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Janet M. Stevens
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INFORMATION DISCLOSURE STATEMENT

Sir:

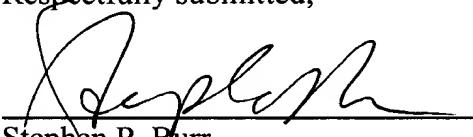
Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Search Report bearing a mailing date of September 23, 2003 from a corresponding European patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,


Stephen P. Burr
Reg. No. 32,970

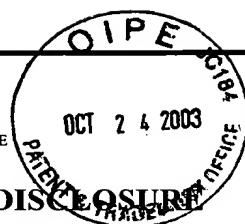
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)



| <i>Complete if Known</i> | |
|--------------------------|-------------------|
| Application Number | 09/908,945 |
| Filing Date | July 19, 2001 |
| First Named Inventor | Akira WAKABAYASHI |
| Art Unit | 3641 |
| Examiner Name | Denise J. Buckley |
| Confirmation No. | 1922 |
| Sheet | 1 of 1 |
| Attorney Docket No. | 892_019 |

U.S. PATENT DOCUMENTS

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| AL | "Business Negotiations on the Internet," IBM RESEARCH DIVISION; IAC REPORT, 11 March 1998 (1998-03-11), XP01146208, Yorktown Heights, page 7-11; figure 7 |

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| Examiner: | Date Considered: |
|-----------|------------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.